

# Stabilities of Nucleotide Loops Bridging the Pyrimidine Strands in DNA Pyrimidine·Purine·Pyrimidine Triplexes: Special Stability of the CTTTG Loop<sup>†</sup>

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**ABSTRACT:** Recent studies of DNA hairpin loops have shown considerable dependence of the stability on the sequence of the loop [Senior, M., Jones, R. A., & Breslauer, K. J. (1988a) *Proc. Natl. Acad. Sci. U.S.A.* 85, 6242–6246; Xodo, L. E., Manzini, G., Quadrioglio, F., van der Marel, G., & van Boom, J. H. (1989) *Biochimie* 71, 793–803; Hirao, I., Nishimura, Y., Tagawa, Y., Watanabe, K., & Miura, K. (1992) *Nucleic Acids Res.* 20, 3891–3896]. Analogous studies have not, until now, been carried out with loops in triple helices. We report the results from experiments in which we examine the relative stabilities of pentanucleotide loops that bridge between the pyrimidine strands in DNA pyr-pur-pyr triple helices. There are two types of loops that are defined by the relative orientation of the purine strand: a 5'-loop and a 3'-loop. The sequences examined in this study are the bimolecular triplexes formed between 5'-dTTCCTTTCTL<sub>1</sub>TTTL<sub>5</sub>-CTTTCTT (loop nucleotides are underlined, and L<sub>1</sub> and L<sub>5</sub> represent varied nucleotides) and the two purine strands, 5'-dAAGAAAAG-3' and 5'-dGAAAAGAA-3'. The first and last nucleotides in the loop are varied, since stacking interactions may be strongest at these positions [Senior et al., 1988a; Senior, M., Jones, R. A., & Breslauer, K. J. (1988b) *Biochemistry* 27, 3879–3885], and we examine 14 sequence combinations for each loop type. Thermal denaturation studies carried out at pH 7.0 indicate considerable variation in the stabilities of these loops. In the 5'-loop series, the strongest complex is formed with the loop having the sequence 5'-CTTTG, with a  $T_m$  of 35.1 °C and a free energy (37 °C) of –8.2 kcal mol<sup>–1</sup>. The least stable complex has the loop sequence 5'-CTTTC, with a  $T_m$  of 27.1 °C and a free energy of –4.8 kcal mol<sup>–1</sup>. Similarly, in the 3'-loop series, the strongest complex is formed with the loops having the sequence 5'-CTTTG, with a  $T_m$  of 32.2 °C and a free energy of –6.7 kcal mol<sup>–1</sup>. The least stable complex has the loop sequence 5'-CTTTC, with a  $T_m$  of 25.2 °C and a free energy of –5.0 kcal mol<sup>–1</sup>. Several conclusions are drawn from the 28 different cases studied: (1) 5'-loops are somewhat more stable than 3'-loops, with an average difference of 0.4 kcal in free energy; (2) purines in both types of loop and at both positions are stabilizing, by an average of 0.5–0.9 kcal each, relative to pyrimidines (presumably, this is due to more efficient base stacking); (3) placement of a purine on the 5'-end of the helix is more favorable than on the 3'-end by an average of 0.6 kcal, thus favoring YTTTR loops over RTTTY loops (this is consistent with the stacking preferences seen for duplex DNA); (4) there is a special stability present in the cases where the first and last bases are complementary in the Watson–Crick sense, suggesting possible pairing interactions across the loop. This interaction adds as much as –0.8 kcal of stability to the 3'-end and –2.1 kcal to the 5'-end, relative to a C–A mismatched case, and thus leads to relatively high stability for complexes with TTTTA and especially those with CTTTG loops. A model for this pairing interaction is proposed.

Recent studies of DNA triple-helical structures have elucidated much about the thermodynamics and kinetics of base pairing in these complexes (Pilch et al., 1990; Plum et al., 1990; Roug   et al., 1992). The most commonly studied form, the termolecular triple helix, is formed when a synthetic oligodeoxynucleotide is targeted to a specific site in duplex DNA (Moser & Dervan, 1987; Le Doan et al., 1987). A few of the more recent studies have focused on the formation of triple helices from two strands of DNA. Such bimolecular triplexes are seen in the structure of H-DNA (Mirkin et al., 1987; Kohwi & Kohwi-Shigematsu, 1988; Shimizu et al., 1989; Hanvey et al., 1989; Htun & Dahlberg, 1989; Belotserkovskii et al., 1990). H-DNA forms from partially unwound duplex DNA, in which one pyrimidine-rich strand (in the pyrimidine motif) folds back to form Hoogsteen-type hydrogen bonds

with a purine-rich stretch in the duplex. H-DNA structures can form in vivo and are proposed to be involved in transcriptional regulation and in replication (Shimizu et al., 1989; Ussery & Sinden, 1993). Studies have been carried out on the structural requirements for H-DNA formation and on base pairing strengths and specificities in the triplex (Belotserkovskii et al., 1990; Shimizu et al., 1989).

An important part of the H-DNA structure is the loop that bridges the outer pyrimidine strands, but there are few reports to date of experiments directed toward loop structural requirements. In one report (Shimizu et al., 1989), the effect of loop size was investigated, and it was shown that a loop size of four nucleotides was preferred over six, eight, or ten nucleotides. In a study of related bimolecular triplexes, we found (Prakash & Kool, 1992) that the intermediate loop size of five nucleotides was preferred over four, six, or ten nucleotides, at least for the sequences studied.

In addition to the H-DNA complex, bimolecular triple helices are also formed in a new motif for nucleic acid recognition, in which single strands are targeted by triplex formation (Kool, 1991; Giovannangeli et al., 1991). In this

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approach, two pyrimidine domains are connected on one or both ends by loops. To our knowledge, no report of investigations on the sequence dependence of the stability of triplex-bridging loops exists in the literature, either for synthetic complexes or for H-DNA structures.

In order to investigate systematically the effects of loop sequence on the stabilities of bimolecular triplexes, we constructed 14 model triplex hairpin-forming oligodeoxynucleotides in which pentanucleotide loops bridge the pyrimidine strands. The first and fifth nucleotides were varied to investigate sequence effects, and we found that relatively large differences in stability occurred with changes in only these two nucleotides. The results have implications in the relative stabilities of H-DNA sequences and in the design of bimolecular and unimolecular triplexes in general.

## EXPERIMENTAL PROCEDURES

**Oligonucleotide Synthesis.** DNA oligonucleotides were synthesized on a Pharmacia LKB automated synthesizer using standard  $\beta$ -cyanoethyl phosphoramidite chemistry (Beaucage & Caruthers, 1981). The oligomers were purified by preparative 20% denaturing polyacrylamide gel electrophoresis and quantitated by absorbance at 260 nm. Molar extinction coefficients for the oligomers were calculated by the nearest-neighbor method (Borer, 1985).

**Thermal Denaturation Studies.** Solutions for the thermal denaturation studies contained a 1:1 ratio of variable-sequence pyrimidine oligomer and the complementary purine oligomer (1.0  $\mu$ M each). Solutions were buffered with 10 mM Na-PIPES (1,4-piperazinediethanesulfonate, Sigma) at pH 7.0. This buffer was chosen because of the low dependence of its  $pK_a$  on temperature relative to other Good buffers (Good et al., 1966). The buffer pH is that of a 500 mM stock solution at 25 °C; after dilution, the final solution pH was shown to be within 0.1 unit of that of the buffer stock. Also present were 100 mM NaCl and 10 mM  $MgCl_2$ . After the solutions were prepared, they were heated to 90 °C and allowed to cool slowly to room temperature prior to the melting experiments.

The melting studies were carried out in Teflon-stoppered 1-cm path length quartz cells under a nitrogen atmosphere on a Varian Cary 1 UV-vis spectrophotometer equipped with a thermoprogrammer. Absorbance (260 nm) was monitored while temperature was raised from 5.0 to 50 °C at a rate of 0.5 °C/min. In nearly all cases the complexes displayed sharp, apparently two-state transitions, with monophasic melting from bound complex to free oligomers. Melting temperatures ( $T_m$ ) are reported as the midpoint of transition, as determined by a computer fit of the first derivative of absorbance with respect to  $1/T$ . Uncertainty in  $T_m$  is estimated at less than  $\pm 0.5$  °C on the basis of repetition of experiments.

Each reported value for  $T_m$  and free energy is an average of 2–4 separate experiments; the repeated  $T_m$  values generally agreed within 0.5 °C, and the uncertainty in the averaged value is estimated at  $\pm 0.3$  °C. Free energy values (37 °C) were derived by computer-fitting the denaturation data using the two-state approximation for melting (Petersheim & Turner, 1983). Fits were excellent, with  $\chi^2$  values of  $5 \times 10^{-6}$  or better. The uncertainty in individual free energy measurements is estimated at  $\pm 10$ –15%.

## RESULTS

**Sequence and Structure Considerations.** The present studies were designed to model interactions that occur in a number of different bimolecular and unimolecular triple

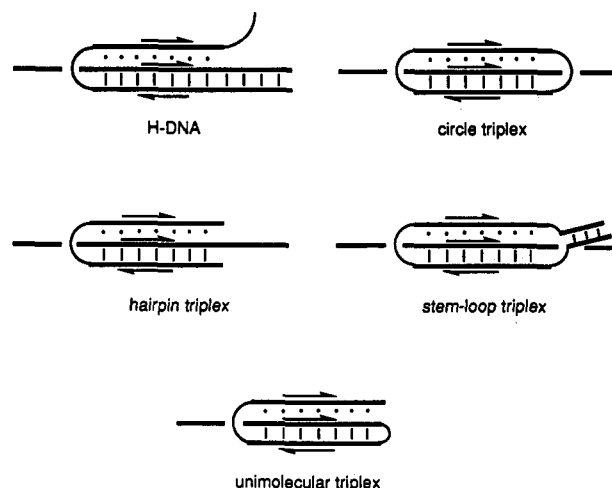


FIGURE 1: Illustrations of four types of bimolecular pyr-pur-pyr triple helices and one unimolecular triplex (arrows denote 5' to 3' strand orientation, dots illustrate Hoogsteen bonding, and short lines show Watson-Crick bonding). All of these structures require bridging of the outer pyrimidine domains by a loop, which may be located at either the 5'-end or 3'-end of the complex, as defined by the orientation of the strand containing the purine domain.

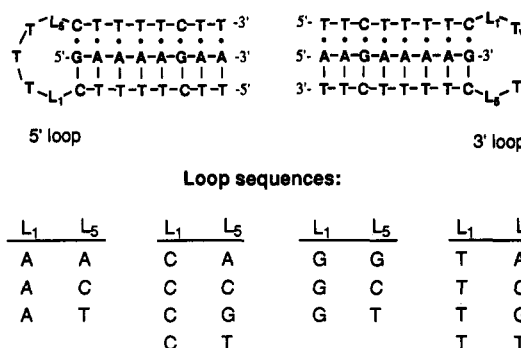


FIGURE 2: DNA sequences used in this study. L<sub>1</sub> and L<sub>5</sub> denote the two varied nucleotides in the loop domains. The 21-nucleotide pyrimidine oligomers bind the sequence 5'-dGAAAAGAA by forming a hairpin-type triplex with a 5'-bridging loop, and they bind the sequence 5'-dAAGAAAAG by forming a triplex with a 3'-bridging loop.

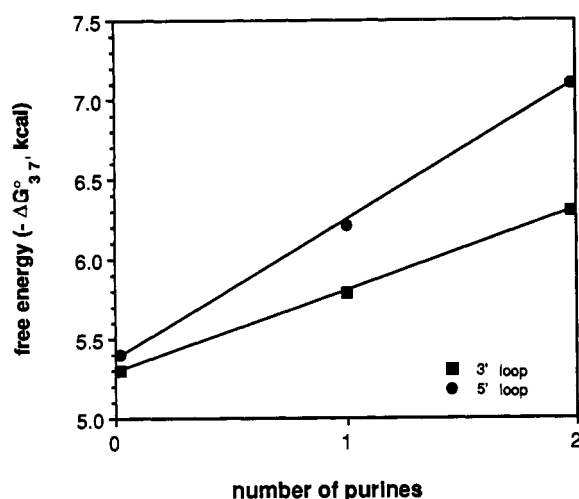
helices. Figure 1 shows illustrations of several of these triplex forms. In all cases, the outer pyrimidine strands in the triplex are bridged by nucleotide loops. In some of them, the central purine strand continues beyond the loop, which may result in some direct interactions with nucleotides in the loop. In this study, we first sought to measure the intrinsic stabilities of the bridging loops in the absence of an extended central strand. Later studies were then carried out to investigate specific interactions between the loop and an extended central strand (Booher et al., 1994).

The sequences constructed for these studies are shown in Figure 2. All 14 21-mer oligodeoxynucleotides can bind by triplex formation to two eight-nucleotide purine sequences: 5'-dAAGAAAAG and the sequence-reversed 5'-dGAAAAGAA. When bound to the first target, the five loop nucleotides are situated at the 5'-end of the purine strand, and when bound to the reverse, the loop is situated at the 3'-end of the purine strand. All pyrimidine-rich sequences that form such bimolecular triplexes can bind a given purine sequence or its reverse, simply by exchanging the roles of the Hoogsteen and Watson-Crick domains. In the present case, this bimodal binding allows testing and comparison of the various loop sequences at both ends without construction of twice the number of oligodeoxynucleotides.



Table 2: Averaged Values for Melting Transitions and Free Energies of Complexation Associated with Structural Motifs in the Loops of the 21-mer Hairpin Triplex-Forming Oligomers

structural feature	5'-loop		3'-loop		all loops	
	$T_m$ (°C)	$-\Delta G_{37}^\circ$ (kcal mol <sup>-1</sup> )	$T_m$ (°C)	$-\Delta G_{37}^\circ$ (kcal mol <sup>-1</sup> )	$T_m$ (°C)	$-\Delta G_{37}^\circ$ (kcal mol <sup>-1</sup> )
number of purines						
0	26.3	5.4	25.2	5.3	25.8	5.4
1	30.4	6.2	28.0	5.8	29.2	6.0
2	32.1	7.1	30.1	6.3	31.1	6.7
5' or 3' placement of purine against helix						
5' stack	31.7	6.6	28.6	6.1	30.1	6.3
3' stack	29.2	5.9	27.3	5.5	28.3	5.7
complementarity of L <sub>1</sub> ,L <sub>5</sub>						
C,A or A,C	28.8	5.2	27.2	5.4	28.0	5.3
T,G or G,T	30.1	6.1	26.2	5.7	28.2	5.9
T,A or A,T	30.0	6.4	27.6	5.9	28.8	6.2
C,G or G,C	32.9	7.3	30.8	6.2	31.9	6.8

FIGURE 4: Plots of stability ( $-\Delta G_{37}^\circ$ ) versus the number of purines at the first and fifth loop nucleotide positions, averaged from the 14 complexes involving 5'-loops (●) and the 14 complexes involving 3'-loops (■).

significant correlation is found between stability and the placement of the purine (Table 2). When the purine is positioned at the 5'-end of a strand in the helix (the L<sub>5</sub> position), the average  $T_m$  is 30.1 °C and the average free energy (37 °C) is  $-6.3$  kcal mol<sup>-1</sup>. When the orientation is reversed, placing the purine at the 3'-end of the helix, the average values are found to be 28.3 °C and  $-5.7$  kcal mol<sup>-1</sup>; thus, the total average advantage for 5' purine placement is 1.8 °C in  $T_m$  and 0.6 kcal in free energy. This advantage of the YTTTR loop sequence over the RTTTY sequence is seen in six of the eight sequence combinations for both the 5'- and 3'-loop types. Thus, it would appear that, in this type of DNA triplex, purine stacking may be more favorable on the 5'-end than on the 3'-end of the pyrimidine strands of the triple helix.

**Effects of Complementarity between Positions 1 and 5.** It is interesting to note that, for the cases involving one purine, the average free energy of complexation is the result of widely varying values (with a range of 3.2 kcal) from individual cases. This is in contrast to the cases involving zero or two purines, which are much closer in value, having ranges of 0.9 or 1.2 kcal, respectively. Closer examination of the single-purine cases shows that there appear to be two distinct levels of stability, depending on the nature of the purine and pyrimidine in the L<sub>1</sub> and L<sub>5</sub> positions (Table 2). For the 5'-loop orientation, the cases in which the two bases are complementary in the Watson-Crick sense have an average  $T_m$  of 31.5 °C and a free energy of  $-6.9$  kcal mol<sup>-1</sup>. When the two bases are not complementary, the stability is significantly lower, with an

average  $T_m$  of 29.5 °C and a free energy of  $-5.7$  kcal mol<sup>-1</sup>. For the 3'-loop orientation, a similar effect is seen: complementarity between L<sub>1</sub> and L<sub>5</sub> nucleotides gives a  $T_m$  of 29.2 °C and a free energy of  $-6.5$  kcal mol<sup>-1</sup>, while noncomplementary pairs have values of 28.1 °C and  $-5.6$  kcal mol<sup>-1</sup>. Thus, on average, Watson-Crick complementarity gives an advantage in  $T_m$  of 1.1–2.0 °C and in free energy of 0.9–1.2 kcal mol<sup>-1</sup>.

If the results are broken down further into specific pairs of nucleotides for the L<sub>1</sub> and L<sub>5</sub> positions (Table 2), the order of decreasing stability is (C,G) > (T,A) ≥ (T,G) > (C,A). This is the same order found for the stabilities of these pairs in Watson-Crick duplex DNA (Aboul-ela et al., 1985; Kool, 1991). This finding leads us to propose that there are specific base pairing interactions between the first (L<sub>1</sub>) and fifth (L<sub>5</sub>) positions that stabilize the complex when L<sub>1</sub> and L<sub>5</sub> are complementary (see below).

## DISCUSSION

**Differences between 5'-Loops and 3'-Loops.** The stability of the 5'-loop orientation relative to the 3'-loop orientation, a difference of 2.1 °C in  $T_m$  and 0.4 kcal mol<sup>-1</sup> in free energy, is small, but it appears to be significant, since the advantage appears in 10 of the 14 sequence combinations studied. It is unclear at present what the origin of the advantage is; however, it seems possible that the orientation of the central strand affects the base stacking of the L<sub>1</sub> and L<sub>5</sub> bases in the loop. Models indicate (Figure 5) that in the 5'-loop these two nucleotides may be stacked partly over the 5' base in the central purine strand, whereas in the 3'-loop they are stacked at the 3'-end of the central strand. The result would thus be consistent with the preference for 5' stacking over 3' stacking in this triplex and in DNA duplexes in general (Senior et al., 1988b).

While H-DNA may, in principle, occur in two orientations, generating either a 5'-type bridging loop (the H-y3 conformer) or a 3'-bridging loop (the H-y5 conformer), in practice only one of these orientations is found (Shimizu et al., 1989). Interestingly, the orientation observed is that which generates a 5'-bridging loop, indicating that there is an energetic preference for this orientation. This fact is consistent with the findings of the present study, suggesting that the differential loop stability may contribute to the H-DNA preference. With an extended central strand, however, it is unlikely that stacking effects would contribute as much (Booher et al., 1994). Additionally, an energetic difference of 0.4 kcal may not be large enough alone to account for the observed preference in H-DNA, and it seems likely that differential relaxation of

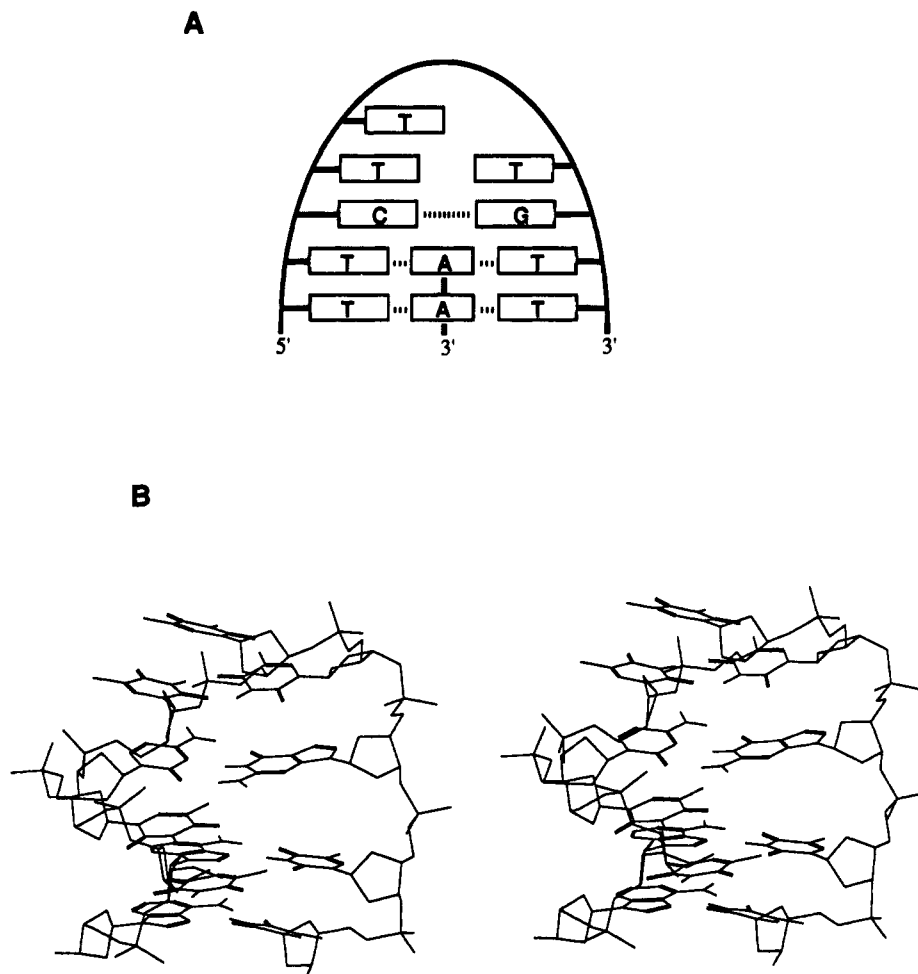


FIGURE 5: Schematic diagram (A) and stereodigram of a computer-generated model (B) for a short triple helix bridged by a 3,2-stacked CTTTG loop in the 5' orientation, illustrating the proposed base pairing between the C and G bases. The structure was designed using a published loop model (Harvey et al., 1988) as a guideline and was built and energy-minimized using MacroModel v3.5a (C. Still, Columbia University) with the AMBER force field.

negative supercoiling (Htun & Dahlberg, 1989) may have a larger influence on this selectivity.

**Effects of Purine Substitution and Placement.** The preference for purines at the first and last positions is quite substantial, and the correlation is surprisingly linear (see Figure 4). The simplest explanation for this observation is that the purines stabilize the complexes by more favorable base stacking interactions. This explanation is consistent with the finding that purines undergo more energetically favorable stacking at the ends of helices in RNA (Turner et al., 1988). The finding of a lower slope for purine substitution in the 3'-loop relative to the 5'-loop may be explained by stronger stacking interactions with the 5'-end of the central purine strand (see below).

The present studies also show a clear preference for the placement of purines at the 5'-end of a pyrimidine strand in the helix rather than at the 3'-end. This effect is consistent with more effective base stacking at this position in the complex (Senior et al., 1988b). A possible alternative explanation to be considered in the present case is that, rather than stabilization by purines, it is the pyrimidines that prefer placement at the 3'-end of helices. However, this is not consistent with the clear overall stabilization seen by purines, regardless of placement, in these complexes. Thus, the simplest explanation is superior 5'-end stacking by purines relative to pyrimidines.

**Base Pairing across the Loop.** The most stable complexes in the study are those with the loop sequence CTTTG, and

the relative order of stability of the loops in general (Figure 3) appears to depend significantly on the complementarity between the first and fifth nucleotides. In fact, complementarity is the single largest factor found in stability, as exemplified by comparison of the CTTTG and CTTTA loops, which differ by only one nucleotide, but differ in stability by 1.2–2.9 kcal mol<sup>-1</sup>. The apparent preference for purine 5' placement relative to 3' placement gives the CTTTG loop a 1.1–1.9 kcal mol<sup>-1</sup> advantage over the GTTTC loop, and a similar effect is seen for the TTTTA loop relative to the ATTTT loop.

As a result of these experiments, we propose that there are specific pairing interactions between the first and fifth positions in the loop. Models built using the CTTTG loop with a 3,2 stacking arrangement (Harvey et al., 1988) (Figure 5) indicate that close placement between these positions is possible when the bases are placed in an *anti* conformation, which would allow for standard Watson–Crick pairing bridged by a three-base hairpin loop of TTT. Although the relative stabilities of the loops appear to follow standard Watson–Crick pairing stabilities, the present results cannot rule out pairing that involves one or both bases in a *syn* conformation, forming a Hoogsteen, reverse Watson–Crick, or reverse Hoogsteen pair. This pairing interaction does indicate, however, that triplexes and duplexes may exist coaxially without intervening nucleotides to alleviate differences in geometry. The result, while unprecedented, is reasonable considering that the relative

distances between phosphates in duplexes and triplexes are not great, at  $\sim 17.4$  and  $19.8$  Å, respectively (Drew et al., 1981; Harvey et al., 1988).

In nucleic acid duplex-bridging loops, special stability has been reported for GNRA and UUCG tetraloops in RNA hairpins (Groebe & Uhlenbeck, 1988; Heus & Pardi, 1991; SantaLucia et al., 1992) and for GAAA tetraloops in DNA hairpins (Hirao et al., 1992). In at least some of these cases, specific noncovalent bonding interactions occur across the loop, and this appears to hold true for the triplex loops in this study as well. Although it remains to be seen in the present case how the three central nucleotides affect the stability of pentanucleotide triplex-bridging loops, the CTTTG triplex loop does have special stability among the loops studied here, thus adding a new stabilizing motif for triplex hairpin loops similar to that seen in duplex hairpins.

## CONCLUSIONS

The results show that triplex-bridging loop stabilities in DNA depend considerably on the first and last nucleotides in the loop. In general, 5'-loops are more stable than 3'-loops, possibly providing a partial explanation for the analogous preference seen for H-DNA. In addition, purines in the loop are favored to stack on the 5'-end of a helix more than on the 3'-end. In general, purines are preferred over pyrimidines at all four positions. Finally, there is special stability gained when the first and last nucleotides are complementary in the Watson-Crick sense, with the CTTTG loop showing the greatest stability of all of the loops studied. This special pairing interaction is worth up to 3 kcal of extra stability in these complexes. The result also suggests that, in general, it may be possible for triplexes to form directly adjacent to duplexes without intervening nucleotides.

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